** ORDER NUMBER: \_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**ECSE SELL TRADE ORDER FORM**

|  |  |  |
| --- | --- | --- |
| **Customer ID # \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | **Customer Account # \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | **Date Order Received \_\_\_\_\_\_\_\_\_\_\_\_** |
| **Time Order Received \_\_\_\_\_\_\_ am/pm** | **Time Order Executed \_\_\_\_\_\_\_\_\_\_ am/pm** | **Time Order cancelled \_\_\_\_\_\_\_\_\_ am/pm** |
| **Order Type:** | **🗆 Day Order** | **🗆Good Till Cancel** | **🗆 All or None** | **🗆 Do Not Reduce** |

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| **ACCOUNT HOLDER** |
| Account Type: |  |  |  |  |
| Title: |  |  |  |  |  |
| Surname: Click here to enter text. | First Name: Click here to enter text. | Middle Name: Click here to enter text. |
| Corporate Name: Click here to enter text. | Email: Click here to enter text. |

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| **TRADE ORDER DETAILS** |
| CSD Account # \_\_\_\_\_\_\_\_\_\_\_\_\_ | Registry Account # \_\_\_\_\_\_\_\_\_ | Registry ID # \_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Registry Transfer # \_\_\_\_\_\_\_\_\_ |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Security Name** | **Security Symbol** | **Quantity** | **Limit Price (EC$ or %)** | **Projected Cost (EC$)** |
| Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Total Projected Cost** |  | Click here to enter text. |
|  Add: Commission/Mark-up Click here to enter text.% | Click here to enter text. |
|  Add: Transaction Charges Click here to enter text. | Click here to enter text. |
| **Total Projected Settlement Amount** | Click here to enter text. |

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| **Trade Type/Order:**  |

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| **ORDER MODIFICATION PARAMETERS SET BY CUSTOMER (OPTIONAL)** |
| Order Modified to: \_\_\_\_\_\_\_ | Firm’s Agent Responsible for Modification: \_\_\_\_\_\_\_ | Date & Time of Modification: \_\_\_\_\_\_\_ am/pm |
| Order Modified to: \_\_\_\_\_\_\_ | Firm’s Agent Responsible for Modification: \_\_\_\_\_\_\_ | Date & Time of Modification: \_\_\_\_\_\_\_ am/pm |

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| **PAYMENT OPTION** |
|  |  |  | Click here to enter text. | Click here to enter text. |

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| **MARKETING INFORMATION: HOW DID YOU FIND OUT ABOUT US?** |
|  |  |  |  |  | Click here to enter text. |

*By signing below, you authorize us to execute the above-mentioned purchase request on your behalf.*

CUSTOMER SIGNATURE \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ DATE \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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| **OFFICIAL USE ONLY** |
| FOR DISCRETIONARY ACCOUNTS (Only in this case will the immediate section above remain incomplete):I hereby verify that the Customer has given the firm written authorization to exercise investment discretion over account # \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ for which the transaction is being executed. I have reviewed this order and determined that there is a reasonable basis in determining that this investment is consistent with the investment objectives established by the Customer for this account.PRINCIPAL’S SIGNATURE: |
| REGISTERED REPRESENTATIVE’S NOTES: |
| REGISTERED REPRESENTATIVE’S DETAILS:LICENSE #: | SIGNATURE: |
| REGISTERED PRINCIPAL’S DETAILS:LICENSE #: | SIGNATURE: |